Receipt date: 09/07/2006 10598656 - GAU: 1796

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF: CHRISTOPHER KOLP ET AL.

DOCKET NO.: 3267R-02 CUSTOMER NUMBER: 26645

FILED: HEREWITH A 371 OF PCT/US 2005 / 007544

TITLE: DISPERSANT VISCOSITY MODIFIERS BASED ON DIENE-CONTAINING

POLYMERS

Wickliffe, Ohio

Dated: September 7, 2006

Hon. Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Prior to a first office action on the above case, the Examiner's attention is directed to the following items which may be relevant to the examination. Copies of each of the published documents, other than U.S. patent publications, copies of which are not required, are attached, as well as a completed form PTO/SB/08a. The Examiner is requested to ignore any extraneous markings that may be found on such items. The listing of any item herein is not intended as an admission that that item legally qualifies as "prior art."

Items from the International PCT Search:

PCT Publication WO 01/98387, December 27, 2001

EP 0 396 297, November 7, 1990

U.S. Patent 6,107,257, Valcho et al., August 27, 2000

U.S. Patent 4,904,401, Ripple et al., February 27, 1990

Other items:

- U.S. Patent 4,863,623, Nalesnik et al., September 5, 1989
- U.S. Patent 5,264,140, Mishra et al, November 23, 1993
- U.S. Patent 5,409,623, Mishra et al., April 25, 1995
- U.S. Patent 5,429,757, Mishra et al., July 4, 1995
- U.S. Patent 5,563,118, Mishra et al., October 8, 1996

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Kolp et al. (3267R-02)-- page 2

- U.S. Patent 5,620,486, Cherpeck, April 15, 1997
- U.S. Patent 6,025,308, Matsuya et al., February 15, 2000
- U.S. Patent 6,107,258, Esche et al., August 22, 2000
- U.S. Patent 6,117,825, Liu et al., September 12, 2000
- U.S. Patent 6,187,721, Goldblatt et al., February 13, 2001
- U.S. Patent 6,207,624, Supp et al., March 27, 2001
- U.S. Patent 6,284,716, Günther et al., September 4, 2001
- U.S. Patent 6,544,935, Vargo et al., April 8, 2003
- U.S. Application 2004/0043909, Goldblatt et al., March 4, 2004, filed 5/23/03
- U.S. Application 2005/0153849, Mishra et al., July 14, 2005, filed Jan. 9, 2004
- GB 2 033 907, May 29, 1980

The following items may also be pertinent:

PCT Publication WO 2005/103093, November 3, 2005

U.S. Application 2006-0025316, Covitch et al., February 2, 2006

Any required fees or any deficiency or overpayment in fees should be charged or credited to deposit account 12-2275 (The Lubrizol Corporation).

Respectfully submitted,

/David M. Shold # 31664 /

Phone: (440) 347-1601
Telefax: (440) 347-1110
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The Lubrizol Corporation 29400 Lakeland Blvd. Wickliffe, OH 44092 David M. Shold Attorney for Applicant Reg. No. 31,664 Receipt date: 09/07/2006

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INFORMATION DISCLOSURE	First Named Inventor Chr	istopher Kolp
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit	
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	Attorney Docket Number	3267R-02

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6107257		2000-08-27	Valcho et al.	
	2	4904401		1990-02-27	Ripple et al.	
	3	4863623		1989-09-05	Nalesnik et al.	
	4	5264140		1993-11-23	Mishra et al.	
	5	5409623		1995-04-25	Mishra et al.	
	6	5429757		1995-07-04	Mishra et al.	
	7	5563118		1996-10-08	Mishra et al.	
	8	5620486		1997-04-15	Cherpeck	

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	9	6025308		2000-02-15	-02-15 Matsuya et al.			
	10	6107258		2000-08-22	Esche et a	I.		
	11	6117825		2000-09-12	Liu et al.			
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	15	6544935		2003-04-08	Vargo et al.			
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	1	20040043909		2004-03-04	Goldblatt e	et al.		
	2	20050153849		2005-07-14	Mishra et	al.		

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	3	20060	025316		2006-02	2-02	Covitch et	:al.				
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	1	01983	87	wo			2001-12-2	27				
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	3	20339	07	GB			1980-05-2	29				
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	CERTIFICATION STAT	EME	NT	
Please see 37 CFR 1.97 and 1.98 to make the	appropriate selection(s):			
That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).				

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That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

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Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/ David M. Shold #31664 /	Date (YYYY-MM-DD)	2006-09-07
Name/Print	David M. Shold	Registration Number	31664

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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